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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/935,282	08/21/2001	Roger P. Reid	3038

27727
PEDERSEN & COMPANY, PLLC
P.O. BOX 2666
BOISE, ID 83701

CONFIRMATION NO. 9678

FORMALITIES LETTER



OC000000006588977

Date Mailed: 09/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/30/2002 SZEWDIE1 00000061 09935282

FILED UNDER 37 CFR 1.53(b)

01 FC:201 370.00 OP
02 FC:205 65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

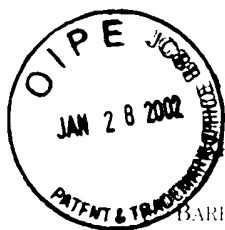
- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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Sec 101 #

KEN J. PEDERSEN
PATENT ATTORNEY
BARBARA S. PEDERSEN
PATENT AGENT

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STACEY D. COLEMAN
OFFICE MANAGER

ROD A. SHORT
ACCOUNTS MANAGER

November 20, 2001

Box Missing Parts
Commissioner of Patents and Trademarks
Washington, D.C. 20231

Re: Utility Patent Application
Inventor(s): Roger P. Reid
Title: Split-Flow Water Filtration Apparatus and Method
Serial No: 09/935,282
Date Filed: August 21, 2001
Docket No: 3038

Dear Sir:

Please find enclosed for the subject utility patent application, in response to the NOTICE TO FILE MISSING PARTS mailed September 21, 2001:

- 1) Notice to File Missing Parts of Application;
- 2) Combined Declaration and Power of Attorney;
- 3) Check for \$435.00 comprising \$370.00 for the filing fee, \$65.00 for the surcharge;
- and
- 4) Return postcard;
- 5) Copy of Transmittal Letter transmitting application on August 21, 2001,

Respectfully submitted, indicating Small Entity Status.

Barbara S. Pedersen

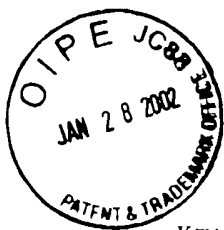
Ken J. Pedersen, Reg. No. 29,689

Barbara S. Pedersen, Reg. No. 36,237

Certification of First Class Mailing

I hereby certify that this correspondence and any documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail with sufficient postage on the date below in an envelope addressed to: Box Missing Parts, Commissioner of Patents and Trademarks, Washington, D.C. 20231

BARBARA S. PEDERSEN



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ROD A. SHORT
ACCOUNTS MANAGER

August 21, 2001

EL 715209284US

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

NEW UTILITY PATENT APPLICATION TRANSMITTAL

Transmitted herewith for filing is a utility patent application:

Inventor(s): Roger P. Reid
Title: Split-Flow Water Filtration Apparatus and Method
Atty. File: 3038

Herewith enclosed are the following for the subject application:

- 1) 13 pages of specification including 9 claims;
- 2) One copy of 8 sheets of drawings;
- 3) Initial Information Data Sheet; and
- 4) Return postcard.

COPY

Applicant Claims Small Entity Status

The filing fee has been calculated as follows:

Basic Fee (small entity)	\$ 355.00
Total Claims (20)	0.00
Indep. Claims (3)	0.00
Mult. Dep. Claims (0)	<u>0.00</u>
Total	\$355.00

Respectfully,

Barbara S. Pedersen
Ken J. Pedersen, Reg. No. 29,689
Barbara S. Pedersen, Reg. No. 36,237
Pedersen and Company, PLLC
P.O. Box 2666
Boise, ID 83701

I hereby certify that this correspondence and the documents and/or fees referred to herein are being sent by registered mail or by the United States Postal Service "Express Mail Post Office to Addressee" with sufficient postage under 39 U.S.C. 1101, to the addressee indicated below and are addressed to: Box PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231

Name of person mailing documents and/or fee: Barbara S. Pedersen

Signature: *Barbara S. Pedersen*

Date of deposit: August 21, 2001